

# CJK NACO Searching

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Korean examples and search tips provided by  
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**Reviewed and updated by Hideyuki Morimoto, September 2023**

# NACO Searching: Purpose & Outlines

- Keep the database clean by searching before contributing
- Discuss why searching is important with CJK examples
  - To prevent duplicate NARs
  - To prevent conflict in authorized access points and variant access points
  - To gather information from existing bibliographic records
  - To identify existing records that may need to be evaluated and re-coded for RDA
  - To identify bibliographic records that may need BFM
- Searching tips in Connexion

# Why Search? To Prevent Duplicate NARs

- Duplicates are normally created by inefficient searching and the 24-hour upload gap in the Name Authority File.
- Before creating a name authority record:
  1. Search the OCLC authority file for the authorized access point, including variant forms of the access point.
  2. In addition, search WorldCat for bibliographic records that contain the authorized access point or variant forms.
- If you put your record in a save file, **remember to search again if more than 24 hours have passed.**
- If you encounter duplicate records in the authority file, be sure to notify your NACO Coordinator so the records can be reported to LC.

# Duplicate NARs for Personal Names (1)

- 24 hours rule: If you put your record in a save file, **remember to search again if more than 24 hours have passed.**

Entered: [May 16](#), 2016

010 no2016066120

046 †f 1983 †2 edtf

1001 Tanaka, Yūsuke, †d 1983-

4001 田中祐輔, †d 1983-

670 Gendai Chūgoku no Nihongo kyōikushi, 2015:

†b t.p. (田中祐輔 = Tanaka Yūsuke) colophon

(reading; born 1983 in Kanagawa-ken; graduated

from Tsukuba Daigaku in 2007; master degree

from Waseda Daigaku Nihongō Kyōiku Kenkyūka in

2009; doctoral degree in Nihongō Kyōikugaku from

the same university in 2013; college teacher in

Tōyō Daigaku Kokusai Centā since 2013)

Pre-existing AAP entered: [May 10](#), 2016

010 n 2016025569

046 †f 1983 †2 edtf

1001 Tanaka, Yūsuke, †d 1983-

4001 田中祐輔, †d 1983-

670 Gendai Chūgoku no Nihongo kyōikushi, 2015: †b t.p.

(田中祐輔 = Tanaka Yūsuke) colophon )r.; born 1983,

Kanagawa-ken)

**Result:** Reported duplicate records to LC and with decision to **keep LCCN n 2016025569.**

# Duplicate NARs for Personal Names (2a)

- Need to check NARs with/without additional element(s) beyond the preferred names/titles; evaluate cited information in 670s on names in NAF and do a little extra searching as needed to see if an existing NAR represents the same person.

010 no 2015092898

100 1 Yi, Hyŏn-u 꺾c (Professor of politics)

400 1 이 현우 꺾c (Professor of politics)

670 Han'guk ũi chŏngch'i kyunyŏl kujo,  
2014: 꺾b title page (이 현우 = Yi Hyŏn-u)

page 347 (prof., Sŏgang Taehakkyo  
Chŏngch'i Oegyo Hakkwa; Ph.D., Univ. of  
North Carolina at Chapel Hill)

Pre-existing AAP:

010 n 2008058565

100 1 Yi, Hyŏn-u, 꺾d 1961-

400 1 이 현우, 꺾d 1961-

400 1 Lee, Hyeon-woo, 꺾d 1961-

670 Pyŏnhwa hanŭn Han'guk yukwŏnja, 2008-: 꺾b v. 2, t.p. (이 현우 = Yi Hyŏn-u) p. 312 (grad., Sŏgangdae Chŏngch'i Oegyo Hakkwa; Chŏngch'ihak paksa, Univ. of North Carolina at Chapel Hill; prof., Sŏgangdae Chŏngch'i Oegyo Hakkwa)

670 Han'guk Kukhoe hyŏnsil kwa isang, 2009: 꺾b t.p. verso (Lee, Hyeon-woo)

670 Naver inmul kŏmsaek, Aug. 26, 2008 꺾b (Yi Hyŏn-u; b. Feb. 1, 1961; prof., Sŏgangdae Chŏngch'i Oegyo Hakkwa)

When the book in hands does not show birth year, please check every NAR with dates before creating new NAR with a qualifier. Because there are lots of **Korean people with the same name**, the NAR list could be very long.

**Result:** Reported duplicate records to LC with decision to **keep LCCN n 2008058565**

# Duplicate NARs for Personal Names (2b)

- Need to check NARs with/without additional element(s) beyond the preferred names/titles; evaluate cited info. in 670s on names and do a little extra searching as needed to see if an existing NAR represents the same author.

010 n 2008065437

1001 Abe, Makoto, †d 1961-

4001 阿部誠, †d 1961-

670 Māketingu no kagaku, 2005: †b t.p. (阿部誠 = Abe Makoto) colophon page (r; b. 1961; educ.: Massachusetts Institute of Technology Operations Research Center; Ph. D.; prof. of economics, Tōkyō Daigaku Daigakuin)

Pre-existing AAP:

010 no 96068708

1001 Abe, Makoto

4001 Abe, M. †q (Makoto)

4001 阿部誠

670 LAPD, c1996: †b t.p. (Makoto Abe) pref. (assistant professor in Dept. of Marketing at University of Illinois at Chicago)

670 Advertising worldwide, 2000: †b t.p. (M. Abe)

Further searching found that these two NARs represent the same author: 670 Tōkyō Daigaku Daigakuin Keizaigaku Kenkyūka, Keizai Gakubu WWW home page, July 13, 2016: †b kyōin ichiran pēji (阿部誠 = Abe Makoto; Abe, Makoto [in rom.]; Ph. D., MIT, Sept. Heisei 3 [1991]; assist. prof., Univ. of Illinois at Chicago, Aug. 1991-Dec. 1997; associate prof., Univ. of Tokyo/Faculty of Economics, Jan. 1998-Apr. 2004; prof., Univ. of Tokyo/Faculty of Economics, Apr. 2004- )

**Result:** Reported duplicate records to LC with decision to **keep LCCN n 2008065437**

# Duplicate NARs for Personal Names (3a)

- Need to search undifferentiated NARs and evaluate 670s on names/works and split names when necessary

008/32 Name: a

010 no2015129508

1001 Lu, Yuan, 𠄎d 1957-

4001 路远, 𠄎d 1957-

667 Formerly on undifferentiated name record: n

78045601

670 Hong lang du, 2014: 𠄎b title page (路远 = Lu Yuan)

front flap (路远 = Lu Yuan; original name: 杜远 = Du Yuan; male; born 1957; writes fiction)

670 His Huang mo chih wen, 1988: 𠄎b t.p. (路远 = Lu Yüan) p. 245 (at Hsi-lin-hao-t'e)

008/32 Name: b

010 n 78045601

1001 Lu, Yuan

4001 卢元

4001 卢源

4001 吕圆

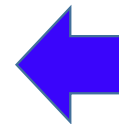
4001 路远

4001 鲁原

4001 陆远

670 [Author of Huang mo chih wen]

670 His Huang mo chih wen, 1988: 𠄎b t.p. (路远 = Lu Yüan) p. 245 (at Hsi-lin-hao-t'e)



When searching and evaluating author Lu Yuan, you found this from an online source: Hu dong bai ke website, Sept. 26, 2015: 𠄎b (路远 = Lu Yuan; original name: 杜远 = Du Yuan; male; born April 20, 1957 in Xilinhaote, Nei Menggu, China; graduated from Beijing Lu Xun wen xue yuan, Beijing shi fan da xue yan jiu sheng yuan wen xue xi; member, Zhongguo zuo jia xie hui; writes fiction and screenplays; author of 荒漠之吻 = Huang mo zhi wen; 在马贩子的宿营地 = Zai ma fan zi de su ying di). Be sure to also compare Wade-Giles vs. Pinyin forms.

# Duplicate NARs for Personal Names (3b)

- Need to search undifferentiated NARs and evaluate 670s on names/works and split names when necessary

008/32 Name: a

010 nr2006028134

1001 Kim, Yong-ho, †d 1952-

4001 金容浩, †d 1952-

4001 김용호, †d 1952-

667 Formerly on undifferentiated name record: n 82269486

670 Pukhan ũi hyöpsang sŭt'ail, 2004: †b t.p. (Kim Yong-ho) ft. flap (prof., Iha Taehakkyo Chöngch'i Oegyo Hakkwa; author of Han'guk chöngdang chöngch'i ũi ihae, 2001)

670 Korea Research Foundation WWW Homepage, Oct. 20, 2006 †b (Kim Yong-ho; Mar. 16, 1952; author of Pukhan ũi hyöpsang sŭt'ail, 2004)

Han'guk chöngdang chöngch'i ũi ihae, 2001: †b t.p. (Kim Yong-ho) ... <snip>

008/32 Name: b

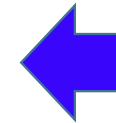
010 n 82269486

1001 Kim, Yöng-ho

...

670 [Author of Han'guk chöngdang chöngch'i ũi ihae]

670 Han'guk chöngdang chöngch'i ũi ihae, 2001: †b t.p. (Kim Yong-ho) ... <snip>



Korean example of finding your author in an undifferentiated NAR and then splitting to create a new NAR.



# Duplicate NARs for Personal Names (4a)

- Be aware of CJK names that may be created in the wrong order, i.e. first name used as last name. Use author keyword search function to find names in both orders.

010 no2011024677

1001 Koizumi, Shūkichi, †d 1933-

4001 小泉修吉, †d 1933-

670 Shirīzu Nihon no dokyumentarī. Sangyō, kagaku hen, 2010: †b container, disc 3 (小泉修吉 = Koizumi Shūkichi; director of Nōyakuka)

670 NII Webcat Plus, Feb. 13, 2011 †b Koizumi Shūkichipage (小泉修吉 = Koizumi Shūkichi; b. Jun. 7, 1933; director) †u

<http://webcatplus.nii.ac.jp/webcatplus/details/creator/2528241.html>

010 no2005078560

1001 Shukichi, Koizumi

670 Radiation, 2003: †b closing credits (Koizumi Shukichi)

Author's name on the closing credits is displayed in Japanese order (last name first name) and probably in the Romanized form, so when the AAP form was established, it was treated like a Western name. This kind of situation appears often when names are in the Romanized form on the item.

**Result:** Reported duplicate record to LC with decision to keep: **LCCN no2011024677** with modification as: **1001 Koizumi, Shūkichi, †d 1933-2014**

# Duplicate NARs for Personal Names (4b)

- Be aware of CJK names that may be created in the wrong order, i.e. first name used as last name. Use author keyword search function to find names in both orders.

010 no2007110352

1001 Katō, Shunzō

4001 Katoh, Syunzo

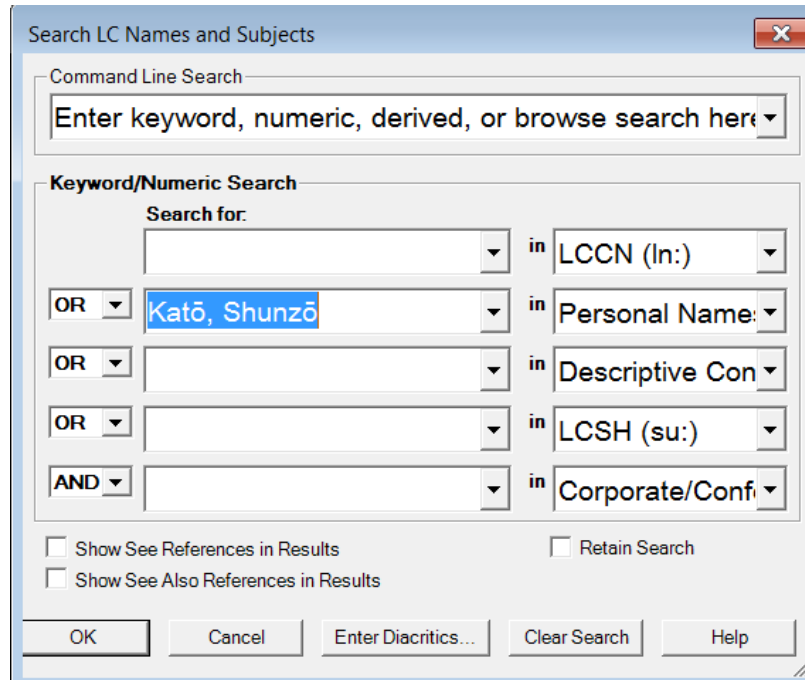
4001 加藤俊三

670 Akira, 1988 : ‡b credits  
(Shunzō Katō, producer)

010 no2007147360

1001 Shunzo, Kato

670 Akira, 2003: ‡b credits  
(producteur Kato Shunzo)



**Keyword** search in OCLC Connexion Client (Shift+F2) can find an AAP in different order, like this one below.

Re...	Description
1	Katō, Shunzō [100]
2	Shunzo, Kato [100]

**Result:** Need to report duplicate record to LC with decision to keep: **LCCN no2007110352**

# Duplicate NARs for Personal Names (5)

- Be aware of CJK names that may be created based on the English form only

**010 n 2016046330**

100 1 Kim, Dongho, †d 1934-  
670 Belly button disc, 2016 †b ecip data view  
(Kim, Dongho; born in Koesan in 1934; he  
studied English literature at Sungkyunkwan  
University and worked as a professor of English  
poetry)

**010 n 2006045503**

100 1 Kim, Tong-ho, †d 1934 April 21-  
400 1 김 동호, †d 1934 April 21-  
670 Kim, Tong-ho. Hoho ũi chip, 1999: †b t.p. (Kim  
Tong-ho; siin; author of Pada, 1975)  
670 Han'guk Hyöndae munin taesajön, 1991 †b (Kim  
Tong-ho; b. Apr. 21, 1934 in Ch'ungbuk Koesan; grad.,  
Sönggyun'gwan Taehakkyo Yöngmunkwa; siin; author  
of Pada, 1975)

In the mock-up example for duplicate NARs, LCCN n 2016046330 is created based on English book only. The cataloger needs to be aware and check for CJK authors' other forms of name especially when dealing with translations.

# Duplicate NARs for Personal Names (6a)

- Be aware of CJK names that may be created based on the wrong Romanization, some are tricky common errors

010 no2014055068

1001 Yu, Chong-yŏl 꺾c (College teacher)

4001 류 종렬 꺾c (College teacher)

670 Kwŏn, O-gyŏng. Oegugin ũl wihan Han'guk munhak ũi ihae, 2013: 꺾b title page (Yu Chong-yŏl = 류 종렬) front flap (Ph.D., Pusan Taehakkyo Taehagwŏn; professor, Pusan Oegugŏ Taehakkyo)

010 nr2003021347

1001 Yu, Chong-nyŏl, 꺾d 1953-

4001 류 종렬 , 꺾d 1953-

670 Kajoksa, yŏndaegi sosŏl yŏn'gu, 2002: 꺾b title page (류 종렬 = Yu Chong-nyŏl) colophon (born 1953 in Pusan; munhak paksa, Pusan Taehakkyo Kugŏ Kungmun Hakkwa; professor, Pusan Oegugŏ Taehakkyo Kugŏ Kungmun Hakkwa)

Notice the 'n' in 'Chong-nyŏl'. This is not simply a typo. It is a common error catalogers are likely to make when applying the Korean Romanization rules.

**Result:** Reported duplicate record to LC with decision to keep: **LCCN nr2003021347**

# Duplicate NARs for Personal Names (6b)

- Be aware of CJK names that may be created based on the wrong Romanization, some are predictable such as certain Chinese characters when used as last name sound differently, such as 区=Ou, not Qu; 单=Shan, not Dan; 解=Xie, not Jie, ... some are not, like the example below.

010 n 2011025473 ꜱz n 2011025471  
1001 Ba, Shuson  
4001 巴曙松  
670 Quan fu gai zhai quan de guo ji jing yan ji  
Zhongguo de xian shi xuan ze, 2010: ꜱb t.p. (巴曙  
松 = Ba Shuson)

Pre-existing NAR  
010 n 2005060047  
1001 Ba, Shusong  
4001 巴曙松

Notice that the later NAR was created with a misspelling of author's first name “松”

**Result:** Reported duplicate record to LC with decision to keep: **LCCN n 2005060047**

# Duplicate NARs for fictitious/legendary person or real non-human entity

- Search both the LC/NACO Authority File and the LC/SACO Authority File.
- If found represented in any form in the LC/SACO Authority File, prepare a cancellation proposal of that *LCSH* record in Minaret and submit a report to LC. For catalogers who do not submit SACO proposals or use Minaret, they should send an email request to [policy@loc.gov](mailto:policy@loc.gov) (LC's Policy and Standards Division) to create a proposal to cancel the subject heading.

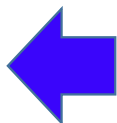
010 no2014117957 †z sh 85062739  
1000 Huangdi, †c Emperor of China (Legendary character), †d approximately 2704 B.C.-  
368 †c Legendary character †c Mythological character

010 sh 85062739  
150 Huangdi (Legendary character)



010 no2014081856 †z sh2002008697  
1000 Hachi-kō †c (Akita dog), †d 1923-1935  
368 †c Akita dog †2 lcs †0  
<http://id.loc.gov/authorities/subjects/sh85003065>

010 sh2002008697  
150 Hachiko (Dog)



**Result:** Record for LCCN sh 85062739 was deleted and LCCN added to 010 †z of the record of LCCN: no2014117957; Record for LCCN sh2002008697 was deleted and LCCN added to 010 †z of the record for LCCN: no2014081856

# Duplicate NARs for Corporate Names (1)

- Need to search all forms with or without additional element(s) before creating a new record

010 no2010095013

1102 Chongmyo Cherye Pojonhoe

4102 종묘 제례 보존회

670 Chongmyo cherye, 2008: †b title  
frame (종묘 제례 보존회 =  
Chongmyo Cherye Pojonhoe)

010 n 2006034570

1102 Chongmyo Cherye Pojonhoe (Korea)

4102 Preservation Society of the Jongmyo Royal Ancestral Rite (Korea)

670 Chongmyo, chongmyo cherye, 2004: †b t.p. (Chongmyo Cherye  
Pojonhoe; The Preservation Society of the Jongmyo Royal Ancestral  
Rite)

**Result:** Reported duplicate record with decision to **keep LCCN n 2006034570**

# Duplicate NARs for Corporate Names (2a)

- Need to search for possible variant CJK Romanization and word division before creating a new record

010 nr2006011124  
1102 Kokuritsu Kokkai Toshokan (Japan). †b Kansai-kan  
4102 国立国会図書館 (Japan). †b 関西館  
670 Toshokan shinseiki, 2003: †b t.p. (Kokuritsu Kokkai  
Toshokan Kansai-kan) p. iii, etc. (Kokuritsu Kokkai  
Toshokan Kansai-kan opened Oct., 2002)

010 nr2004010521 †z n 2008054462  
1102 Kokuritsu Kokkai Toshokan (Japan).  
†b Kansaikan  
4102 国立国会図書館 (Japan). †b 関西館  
670 Kokuritsu Kokkai Toshokan Kansaikan  
jigyō kiroku, 2002: †b p. 4, etc. (loc. Kyōto-  
fu Sōraku-gun Seika-chō; open Oct. 2002)

Different Romanization for 関西館 were used in these two records. You may need to apply truncation/wild cards when searching. Sometimes it's helpful to browse names and look at the names listed before and after the form you searched. In addition, as long as CJK names are concerned, names in non-Latin original script should be checked.

**Result:** Reported duplicate record with decision to **keep LCCN nr2004010521**



# Use Browse (Shift+F2) to search names in both CJK and Romanized forms

Root Results	Re...
Kokuritsu Kokkai Toshokan (Japan). #b Japanese Nation...	1
Kokuritsu Kokkai Toshokan (Japan). #b Jinjika	1
Kokuritsu Kokkai Toshokan (Japan). #b Jōhō Shorika	1
Kokuritsu Kokkai Toshokan (Japan). #b Kagaku Gijutsu S...	1
Kokuritsu Kokkai Toshokan (Japan). #b Kagaku Gijutsu S...	1
Kokuritsu Kokkai Toshokan (Japan). #b Kaikeika	1
Kokuritsu Kokkai Toshokan (Japan). #b Kansai-kan	1
Kokuritsu Kokkai Toshokan (Japan). #b Kansai-kan. #b Ji...	1
Kokuritsu Kokkai Toshokan (Japan). #b Kansai-kan. #b Ji...	1
Kokuritsu Kokkai Toshokan (Japan). #b Kansai-kan. #b T...	1
Kokuritsu Kokkai Toshokan (Japan). #b Kansaikan	1
Kokuritsu Kokkai Toshokan (Japan). #b Kansaikan. #b D...	1
Kokuritsu Kokkai Toshokan (Japan). #b Kansaikan. #b Ji...	1
Kokuritsu Kokkai Toshokan (Japan). #b Kansaikan. #b Ji...	1
Kokuritsu Kokkai Toshokan (Japan). #b Kansaikan. #b Ji...	1
Kokuritsu Kokkai Toshokan (Japan). #b Kansaikan. #b Ji...	1
Kokuritsu Kokkai Toshokan (Japan). #b Kansaikan. #b To...	1
Kokuritsu Kokkai Toshokan (Japan). #b Kenchikubu	1
Kokuritsu Kokkai Toshokan (Japan). #b Kensei Shiryōshit...	3
Kokuritsu Kokkai Toshokan (Japan). #b Kikaku Kyōyōka	2
Kokuritsu Kokkai Toshokan (Japan). #b Kikakuka	1

Root Results	Re...
国立国会図書館 (Japan). #b 逐次刊行物	1
国立国会図書館 (Japan). #b 逐次刊行物部	1
国立国会図書館 (Japan). #b 逐次刊行物部	1
国立国会図書館 (Japan). #b 逐次刊行物部	1
国立国会図書館 (Japan). #b 連絡部	1
国立国会図書館 (Japan). #b 連絡部	1
国立国会図書館 (Japan). #b 閲覧部	1
国立国会図書館 (Japan). #b 閲覧部	1
国立国会図書館 (Japan). #b 閲覧部	1
国立国会図書館 (Japan). #b 閲覧部. #b 経済社会参考課	1
国立国会図書館 (Japan). #b 関西館	2
国立国会図書館 (Japan). #b 関西館. #b 事業記録編集委員...	1
国立国会図書館 (Japan). #b 関西館. #b 事業部	1
国立国会図書館 (Japan). #b 関西館. #b 図書館協力課	2
国立国会図書館 (Japan). #b 関西館. #b 電子図書館課	1
国立国会図書館 (Japan)	1
国立国会図書館 (Tokyo)	1
国立国会図書館, Tokyo	1
国立国会図書館, Tokyo. #b 整理部	1
国立国会図書館内 EC 研究会	1
国立国会図書館参考 (Japan). #b 書誌部	1
国立国会図書館参考書誌部経済社会課	1

# Duplicate NARs for Corporate Names (2b)

- Need to search for possible variant CJK Romanization and word division before creating a new record

010 n 88197997

1102 Bukkyōshi Gakkai

670 Nihon Bukkyō no chiiki hatten, 1961: ㄱb

t.p. (Bukkyōshi Gakkai)

675 Dantai meikan, 1965, 1980.

Pre-existing NAR:

010 n 81096290

1102 Bukkyō Shigakukai

4102 Bukkyō Shigakkai

4102 Bukkyōshi Gakkai

4102 Society of the History of Buddhism (Japan)

4102 Bukkyo Shigaku-Kai, Kyoto

4102 佛教史學會

ㄱw r ㄱi Predecessor: ㄱa Shina Bukkyō Shigakukai ㄱ4

<http://rdaregistry.info/Elements/a/P50012> ㄱ0

<http://id.loc.gov/authorities/names/n81096291> ㄱ1

<http://id.loc.gov/rwo/agents/n81096291>

667 The name may also be romanized as: Bukkyōshi Gakkai

Different Romanization for 佛教史學會

**Result:** Need to report duplicate record with decision to **keep LCCN n 81096290**

# Duplicate NARs for Corporate Names (2c)

- Need to search for possible variant CJK Romanization and word division before creating a new record

010 nr2004037327

1102 Taipei shi Ke jia gong gong shi wu xie hui  
[10 words]

670 Kan jian yuan xiang ren, Min guo 87 1998:  
‡b t.p. (Taipei shi Ke jia gong gong shi wu xie  
hui)

Pre-existing NAR:

010 n 2001051551

1102 Taipei Shi ke jia gong gong shi wu xie hui [9  
words]

4102 台北市客家公共事務協會

670 Ke jia min su wen hua, 1997: ‡b t.p. (Taipei Shi  
ke jia gong gong shi wu xie hui)

Different Romanization for 台北市客家公共事務協會. ALA-LC Romanization Chinese: “2. Join together transliterations of two or more characters comprising the names of racial, linguistic, or tribal groupings of mankind.” So 客家 should be romanized as Ke jia

**Result:** Need to report duplicate record with decision to **keep LCCN n 2001051551**

# Duplicate NARs for Corporate Names (3a)

- Need to search for possible variant forms such as a western form before creating a new record

010 no2006018741

1102 **Nihon** Senpaku Kaiyō Kōgakkai

4102 Japan Society of Naval Architects and  
Ocean Engineers

4102 日本船舶海洋工学会

670 Kanrin, July 2005: †b cover (Nihon Senpaku  
Kaiyō Kōgakkai)

Pre-existing NAR:

010 nb2006002410

1102 **Nippon** Senpaku Kaiyo Kogakkai

4102 Japan Society of Naval Architects and Ocean  
Engineers

670 Nippon Senpaku Kaiyo Kogakkai ronbunshu,  
2005: †b t.p. (Japan Society of Naval Architects and  
Ocean Engineers)

Different Romanization for 日本, searching its English form found the pre-existing NAR

**Result:** Need to report duplicate record with decision to **keep LCCN no2006018741**

# Duplicate NARs for Corporate Names (3b)

- Need to search for all possible variant forms such as a western form before creating a new record

010 no2016005474

1102 [Zhongguo](#) yun chou xue hui

4102 中国运筹学会

4102 Operations Research Society of China

4102 ORSC (Operations Research Society of China)

670 Yun chou xue xue ke fa zhan bao gao,  
2012/2013: ‡b title page (中国运筹学会 =  
Zhongguo yun chou xue hui)

Pre-existing NAR:

010 nb2010009829

1102 [Zhong guo](#) yun chou xue hui

4102 Operations Research Society of China

670 Yunchouxue xuebao =, vol.12, no.2, 2008: ‡b  
t.p. (Operations Research Society of China)

Different Romanization for中国, searching its English form found the pre-existing NAR

**Result:** Need to report duplicate record with decision to **keep LCCN no2016005474**

# Duplicate NARs for Corporate Names (4a)

- Be aware that a CJK corporate subordinate name could have been established directly or indirectly so search both forms.

010 n 2012032064

1102 Doboku Kenkyūjo (Japan). †b Nadare Jisuberi Kenkyū Sentā

4102 Doboku Kenkyūjo (Japan). †b Dosha Kanri Kenkyū Gurūpu. †b Nadare Jisuberi Kenkyū Sentā

4102 土木研究所 (Japan). †b 雪崩・地すべり研究センター

670 Jisuberi bōshi shisetsu no iji kanri ni kansuru jittai to shisetsu tenken hōhō no kentō, 2011: †b t.p. (土木研究所土砂管理研究グループ雪崩・地すべり研究センター = Doboku Kenkyūjo Dosha Kanri Kenkyū Gurūpu Nadare Jisuberi Kenkyū S

010 n 2011031753

1102 Nadare Jisuberi Kenkyū Sentā

4102 Tsukuba Chūō Kenkyūjo. †b Dosha Kanri Kenkyū Gurūpu. †b Nadare Jisuberi Kenkyū Sentā

4102 雪崩・地すべり研究センター

670 Gōsetsuji ni okeru nadare shamen no tenken to ōkyū taisaku jirei, 2010: †b t.p. (土木研究所つくば中央研究所土砂管理研究グループ雪崩・地すべり研究センター = Doboku Kenkyūjo Tsukuba Chūō Kenkyūjo Dosha Kanri Kenkyū Gurūpu Nadare Jisuberi Kenkyū Sentā)

**Result:** Reported duplicate record with decision to **keep LCCN** n 2012032064

# Duplicate NARs for Corporate Names (4b)

- Be aware that a CJK corporate intermediate subordinate name could be omitted so search under both forms in the hierarchy.

010 no2007125537

1102 Ōsaka Shiritsu Daigaku. †b Daigakuin. †b Toshi Bunka Kenkyū Sentā

4102 Ōsaka Shiritsu Daigaku. †b Toshi Bunka Kenkyū Sentā

4102 大阪市立大学. †b 大学院. †b 都市文化研究センター

670 Tōnan ajia ni okeru bunka hyōshō no shosō, Dai 2-shū (2005): †b t.p. (Ōsaka Shiritsu Daigaku Daigakuin Toshi Bunka Kenkyū Sentā)

670 Bunka isan to toshi bunka seisaku, 2009: †b t.p. (Ōsaka Shiritsu Daigaku Toshi Bunka Kenkyū Sentā)

010 no2006010936

1102 Ōsaka Shiritsu Daigaku. †b Toshi Bunka Kenkyū Sentā

4102 Ōsaka Shiritsu Daigaku. †b Bungaku Kenkyūka †b Toshi Bunka Kenkyū Sentā

4102 大阪市立大学. †b 都市文化研究センター

4102 Urban-Culture Research Center

4102 UCRC

670 Toshi bunka kenkyū, Sep. 2004: †b colophon (Ōsaka Shiritsu Daigaku Bungaku Kenkyūka Toshi Bunka Kenkyū Sentā) cover p. 4 (Urban-Culture Research Center; UCRC)

It is unlikely that one university would have two research centers with the same name so the intermediate body is not necessary in the AAP.

**Result:** Reported duplicate record with decision to **keep LCCN** no2006010936

# Duplicate NARs for Corporate Names (5)

- Be aware that a CJK corporate name could be established in the English form coded as provisional or preliminary

010 n 87828946

1102 Aichi Prefectural Ceramic Museum (Tokyo, Japan)

670 nuc87-41101: Ishiguro, M. Ishiguro Munemaro exhibition, c1981  
‡b (usage on MiDA rept.: Aichi Prefectural Ceramic Museum)

Note: this coded preliminary

010 n 78069883

1102 Aichi-ken Tōji Shiryōkan

4101 Aichi-ken (Japan). ‡b Tōji Shiryōkan

4102 Aichi Prefectural Ceramic Museum

4101 Aichi-ken (Japan). ‡b Prefectural Ceramic Museum

670 Its Kawasaki korekushon, 1976: ‡b cover (Aichi-ken Tōji Shiryōkan)

670 Shokuinroku, 1978: ‡b v. 2, p. 1380 (Aichi-ken/Tōji Shiryōkan)

670 Nagoya no yakimono, 1995: ‡b t.p. (Aichi-ken Tōji Shiryōkan; Aichi Prefectural Ceramic Museum)

Note: Despite the qualifier in LCCN n 87828946, this museum was not in Tokyo. The work cat. cited in LCCN n 87828946 clearly states that the museum was located in Aichi Prefecture instead.

**Result:** Reported duplicate record with decision to **keep LCCN n 78069883**



# Duplicate NARs for Corporate Names (6a)

- Need to search both the old and current Romanized forms, such as search the Wade-Giles form for corporate names established prior ca. 1980.
- Be aware that pre-AACR2 AAPs for Chinese corporate bodies, meetings, uniform titles and geographic locations were not machine-converted into pinyin; they may be converted at a later date as they are encountered by catalogers (see <http://www.loc.gov/catdir/pinyin/conversion.html#authority> under Pre-AACR2 Headings).

010 n 2011019667

1102 Shaoxing Lu Xun ji nian guan.

4102 Shaoxing Lu Xun Museum.

4102 绍兴鲁迅纪念馆.

670 Shaoxing Lu Xun ji nian guan guan cang ban hua zuo pin xuan, 2008: ‡b t.p. (绍兴鲁迅纪念馆 = Shaoxing Lu Xun ji nian guan; Shaoxing Lu Xun Museum)

010 n 79083140

1102 Shao-hsing Lu Hsün chi nien kuan

4102 绍兴鲁迅纪念馆

667 THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL THIS RECORD HAS BEEN REVIEWED AND/OR UPDATED

670 Its Chao hua hsi shih ch'ien hsi, 1978: ‡b t.p. (Shao-hsing Lu Hsün chi nien kuan)

This particular case is an example of Chinese pre-AACR2 corporate body where you would need to the search Wade-Giles form

**Result:** Reported duplicate record with decision to **keep LCCN n 2011019667**

# Duplicate NARs for Corporate Names (6b)

- Need to search for both old and current Romanized forms

010 no 00042123

1102 Asahi Shinbun Jōhō Kōkai Shuzaihan

4102 Asahi Shinbunsha. †b Jōhō Kōkai Shuzaihan

4102 朝日新聞情報公開取材班

4102 朝日新聞社. †b 情報公開取材班

670 Hirakareta seifu o, 1981: †b t.p. (Asahi Shinbun Jōhō Kōkai Shuzaihan)

010 n 81095433

1102 Asahi Shimbun Jōhō Kōkai Shuzaihan

4102 Asahi Shimbun Sha. †b Jōhō Kōkai Shuzaihan

670 Hirakareta seifu o, 1981 (a.e.) †b t.p. (Asahi Shimbun Jōhō Kōkai Shuzaihan)

Romanization changed for “新聞” from “Shimbun” (with "m") to “Shinbun” (with "n") In this case. Also, the word division changed for "新聞社" from "Shimbun Sha" in 2 words into "Shinbunsha" in 1 word.

In this case, should update LCCN n 81095433 by making “Asahi Shimbun Jōhō Kōkai Shuzaihan” in 410 with \$w nnea

**Result:** Reported duplicate record with decision to **keep LCCN n 81095433**

# Duplicate NARs for Corporate Names/Subjects (7)

- Need to search NAF & SAF especially for Ambiguous Entities. Follow instructions in DCM Z1 Appendix 1.

010 [sh2011005646](#)

1102 Wuying Palace (Beijing, China)

4102 Wu ying dian (Beijing, China)

4102 Wu Ying Palace (Beijing, China)

670 Work cat: 2011467857: Wu ying dian, 2011.

670 China.org.cn WWW site, Nov. 29, 2011 †b (Wuying Palace; built in 1420)

670 Chinapac International WWW site, Nov. 29, 2011 †b (Wuying Palace; located in western part of Forbidden City)

670 Hanart.com WWW site, Nov. 29, 2011 †b (Wu Ying Palace; in Beijing)

Entered under AACR2

010 [nr 99020006](#)

1102 Wu ying dian (China)

4102 Wu ying tien (China) †w nne

4102 武英殿 (China)

670 Ch'ing tien pan hua hui k'an, 1997: †b pref. (Wu ying tien; a palace in Ch'ing dynasty court)

670 Tz'u hai, 1979: †b t.p. p. 1645 (Wu ying tien; Ch'ing dyansty palace, in what is now Pei-ching ku kung po wu yüan)

Note: According to SHM H405, palace is an entity in group two. A group two name can be in NAF or SAF depending on the situation. In this particular case, the bibliographic record (OCLC# 41068042) cited under the first 670 in LCCN nr 99020006 (on the right side) uses this AAP under bibliographic field 710 (as opposed to 610). So we need to follow instructions in DCM Z1 Appendix 1 (continued on next slide).

# Duplicate NARs for Corporate Names/Subjects (7) continued

## DCM Z1 Appendix 1. Ambiguous Entitles

### 1.2. General guidelines

e) *PCC Catalogers*: If a [Group 2—Subject Authority Group](#) heading is being used as an access point, create a name authority record according to subject cataloging rules. Add a [667](#) note to the NAR with the statement "Subj. Headings Manual/RDA" If a [Group 2](#) Subject Heading for the same entity exists in the LCSH File create an NAR (cf. [DCM Z1 Appendix 1: Ambiguous Entitles, Section, 3.1](#)) and send a notification to [saco@loc.gov](mailto:saco@loc.gov) to delete the existing subject authority record.

### 3.2. Use of these access points in descriptive cataloging

c) If the entity is represented in the name authority file but not coded for RDA, refer the matter to PSD for evaluation and possible change and related actions.

Therefore, according to DCM, Z1, Appendix 1, 1.2 e) and 3.2 c), and after consulting Paul Frank, SACO coordinator, the NAR should be retained and updated, also add the note "667 \_\_ Subj. Headings Manual/RDA."

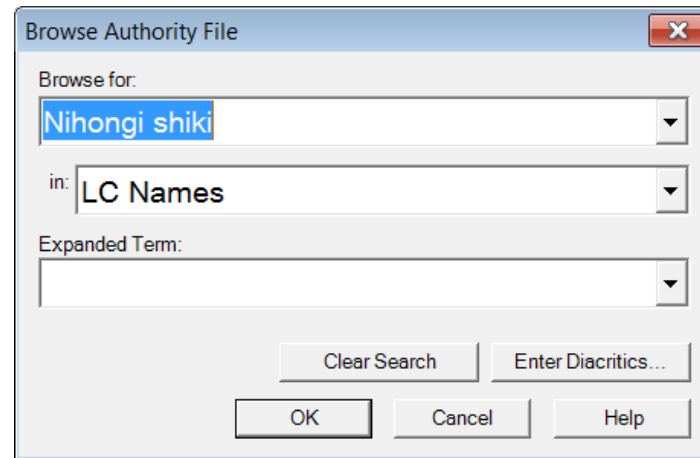
**Result:** Reported to LC to cancel LCCN: [sh2011005646](#) and update LCCN [nr 99020006](#)

# Duplicate NARs for Works

- Be aware that a name could be established under different indexes; search Connexion “LC names” index to search across indexes

010 nr 96041292  
130 0 Nihongi shiki  
430 0 Nihon shoki shiki

010 n 85253751  
1102 Nihongi shiki  
4102 Nihon shoki shiki



Nihongi kyōenka	1
Nihongi shiki	2
Nihongo Anarisuto Kenkyūkai	1
Nihongo Bunpō Gakkai	1
Nihongo Bunpō Kenkyūkai	1
Nihongo bunpō kenkyūsho taisei	1

Note: the AAP for 《日本紀私記》 is established differently. This one should be entered under title  
**Result:** Need to report duplicate record with decision to **keep LCCN nr 96041292**

# Why Search? To Avoid Conflict in AAPs (1)

- To find authority records for different entities that have authorized or variant access points that conflict with access points on your record.
- Must resolve these conflicts by exhausting all possibilities before you can contribute your record.
- If you don't have adequate information to modify the conflicting access points in your record, then you may modify access points in the existing record.
- Be aware: Modifying existing AAPs requires that every library using that AAP update their authority and corresponding bibliographic records so this is not a trivial undertaking.

# Why Search? To Avoid Conflict in AAPs (2)

- What is a conflict?

DCM Z1, NACO Normalization: When a new authority record is added to the name authority file or when a new 4XX field is added to an existing NAR, each new access point is compared against access points already in the file to determine whether the new access point is adequately differentiated from existing authorized access points. See detailed instructions at : <http://www.loc.gov/aba/pcc/naco/normrule-2.html>
- It is a normalized match between:
  - 1XX and a 1XX in another record
  - 4XX and a 1XX or 5XX in another record
  - 4XX and a 4XX in the same record
- Note that a 4XX may normalize to the same 4XX string in another authority record. This is not a conflict.

# Conflict of 1XX with another 1XX (1)

- Potential conflict of 1XX with another 1XX due to the same Romanized form.

010 no2015149380  
1001 Li, Yuliang 꺾c (Dramatist)  
4001 李宇樑 꺾c (Dramatist)  
670 Lang bei xing dong, 2014: 꺾b title page (李宇樑 = Li Yuliang) front flap (male; master's degree in business management; recipient of numerous awards for fiction or drama in Macau and Hong Kong)



010 nr 93030586  
1001 Li, Yuliang  
4001 李育良  
670 Kuo chi mao i chiao cheng, 1991: 꺾b t.p. (Li Yü-liang)

010 no2014054055  
1001 Han, Yǒng-ok 꺾c (Professor of Japanese language)  
4001 한 영옥 꺾c (Professor of Japanese language)  
670 Han, Il saenghwal munhwa wa ep'isodü, 2013: 꺾b colophon (한 영옥 = Han Yǒng-ok; professor, Tongnam Pogǒn Taehakkyo Kwan'gwang Irokwa)



010 nr2001008862  
1001 Han, Yong-ok  
4001 한룡옥  
670 Chosǒn kujǒn munhak, 1966-: 꺾b v. 1, t.p. (Han Yong-ok; res. of North Korea)

The Romanized form in 1XX for the author on the left is the same as the Romanized form in 1XX on the right. To break the conflict when there is no birth or death date, add occupation/field of activity to 100 꺾c

**Result:** Case 1: Li, Yuliang 꺾c (Dramatist); and case 2: Han, Yǒng-ok 꺾c (Professor of Japanese language)

**NOTE:** the record LCCN: nr2001008862 actually had incorrect Romanization, it should be Han, **Ryong-ok**.



# Conflicting Access Points for Personal Names (2)

- Potential conflict of 1XX with another 1XX due to the same form and birth year

010 no2016017055  
1001 Liu, Wei, †d 1979 **December-**  
4001 刘威, †d 1979 December-  
670 Shi heng zhong de li yi fen pei yu Zhongguo mao yi tiao zheng, 2014: †b title page (刘威 = Liu Wei) front flap (刘威 = Liu Wei; male; born **December 1979** ...)



010 no2003069878  
1001 **Liu, Wei, †d 1979-**  
4001 刘巍, †d 1979-  
670 Tai yang bu luo, 2002: †b t.p. (Liu Wei) cover flap (b. 1979)

010 n 81068518  
1001 Yi, Pyǒng-ju, †d 1921 **March 16-**  
4001 이 병주, †d 1921 March  
670 Kǔ hae 5-wǒl, 2006: †b v. 1, t.p. (Yi Pyǒng-ju) v. 6, p. 303 (b. **Mar. 16, 1921** in Kyǒngnam Hadong; grad., Meiji Taehak Munyekwa & Waseda Taehak Pulmunkwa; ...)



010 n 81043097  
1001 Yi, Pyǒng-ju, †d 1921 **October 30-**  
4001 이 병주, †d 1921 October 30-  
670 Yi Pyǒng-ju Sǒnsaeng chugap ...  
1981: †b t.p. (Yi Pyǒng-ju) p. i (b. **10/30/21**)

The top record on the right of case 1 was established first and only needed to use the year of birth in the access point. The top record on the left is for a different person with the same name and same year of birth. In such situations, you must find additional ways to differentiate the access points. Same as the case 2 for Korean names

**Result:** In these two cases, the month or the month plus day of birth for person on the left can be used for differentiation: case 1: Liu, Wei, †d 1979 **December-** and case 2: Yi, Pyǒng-ju, †d 1921 **March 16-**

# Conflict of 1XX with another 1XX (3)

- Potential conflict of 1XX with another 1XX due to the same form and birth year

later added NAR:

010 no2017060243

1001 Shimizu, Hiroshi, 1936- [? CONFLICT WITH PRE-EXISTING AAP BELOW?](#)

670 Seishin shōgai heishi "byōshō nisshi", 2016-: 1 title page

(清水寛 = Shimizu Hiroshi) editors' biographical information

(reading; born 1936 in Tōkyō; professor emeritus, Saitama Daigaku)

pre-existing NAR:

010 n 82104496

1001 Shimizu, Hiroshi, 1936-

670 Kawazoe, K. Ko no kora no inochi kagayaku hi, 1974.

No, the AAP in LCCN no2017060243 is not a conflict, but is actually a duplicate record. Manifestations cited in these two NARs are collocated under a single AAP inside NDL's OPAC.

**Remember:** When determining the conflict, you have to eliminate the possibility of duplication first.

# Conflict of 1XX with a 4XX (1)

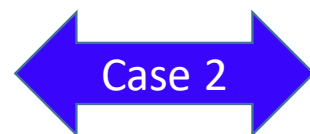
- Potential conflict of 1XX with a 4XX, possibly the same Romanization

New NAR  
010 no2017058477  
1001 Jiang, Xiaping  
4001 Jiang, Xiaoyu  
4001 蒋霞萍  
4001 蒋小鱼



Pre-existing undifferentiated NAR:  
010 n 88073690  
1001 Jiang, Xiaoyu  
4001 江小煜  
4001 江小鱼  
4001 蒋孝煜  
4001 蒋劲愚

010 no2015129508  
1001 Lu, Yuan, †d 1957-  
4001 Du, Yuan, †d 1957-  
4001 杜远, †d 1957-  
670 Hu dong bai ke website, Sept. 26, 2015:  
†b (路远 = Lu Yuan; original name: 杜远 =  
Du Yuan; male; born April 20, 1957...



Pre-existing NAR:  
010 no2012056416  
1001 Du, Yuan, †d 1957-  
4001 杜源, †d 1957-  
670 Xue se lian qing, 2011: †b credits (  
杜源 = Du Yuan; cast)

Case 1. 400 Jiang, Xiaoyu conflicts with 100 of a pre-existing undifferentiated NAR.

Case 2. 400 Du, Yuan, 1957- conflict with 100 of a pre-existing NAR

**Result:** Revised 4XX in LCCN no2017058477 to be: **4001 Jiang, Xiaoyu †c (Author of Feng huang nie pan).**

Revised 4XX in LCCN 010 no2015129508 to be: **4001 Du, Yuan, †d 1957 April 20-**

# Conflicting of 1XX with a 4XX (2)

- Potential conflict of 1XX with a 4XX, possibly across indexes

010 no2015103568

1000 Chu

4000 楚

670 Shi nian wei wan, 2014: †b title page (楚 = Chu) back flap (楚 = Chu; 何翹楚 = He Qiaochu; master's degree, Xianggang Zhong wen da xue xin wen ji chuan bo xue yuan; personal website: <http://lazylife.org/>)

Pre-existing AAP

010 n 83200381

1102 Christelijk-Historische Unie (Netherlands)

4102 CHU

670 De Groei naar het CDA, c1980: †b t.p. (Het CDA ter gelegenheid van de fusie van ARP, CHU en KVP op 11 oktober 1980)

You cannot have conflicts that go across indexes (e.g. personal names vs. corporate names). Pay special attention to this when establishing AAPs that consist only of a forename or are particularly short. Searching the “LC Names” index in Connexion is particularly helpful in these cases.

**Result:** revised 4XX in LCCN n 83200381 to be: **4102 CHU (Christelijk-Historische Unie)**

# Conflicting of 1XX with a 5XX

- Potential conflict of 1XX with a 5XX: If your preferred name in the 1XX field matches a name in the 5XX of another authority record for an unrelated entity, you must add additional element(s) to your 1XX to make the authorized access point unique.

Author Zhang Yinghui on resource:

魏晋南北朝石刻文字整理与研究 / ꎁc 张颖  
慧著

1001 Zhang, Yinghui

Need to add additional element(s) to 1XX to  
make this authorized access point unique.

010 nb2009013117

1001 Chang, Annie

5001 Zhang, Yinghui

010 n 82062178 ꎁz n 2004052311

1001 Zhang, Yinghui

4001 张应辉

4001 张影辉

4001 张映辉

4001 张迎辉

4001 张英慧

# Why Search? To Gather Information from Existing Bib Records

When you search the bibliographic file (in our case, WorldCat), you will sometimes find records that have additional information about the entity you are establishing. These additional bits of information can include (though not limited to):

- Variant usages. Usages can be taken from transcribed elements in the bib record (e.g. statements of responsibility, quoted notes, etc.). Do not take variant forms from recorded, non-transcribed elements.
- Birth and/or death dates in access points.
- Fuller forms of name in access points.
- Subject matter by the author in bib records. Subject matter can be used to differentiate the names in an undifferentiated record and split the record.

# Gather information from existing bib records

- Search to determine a potential separate bibliographical identity.

010 no2016106510

1001 Su, Latu, †d 1976-

4001 蘇拉圖, †d 1976-

5001 †i †w r †i Real identity: †a Su, Junbin, †d 1976- †0

<http://id.loc.gov/authorities/names/no2016106588> †1

<http://id.loc.gov/rwo/agents/no2016106588>

670 Lao wu chao, 2016: †b title page (蘇拉圖 = Su Latu)

front flap (蘇拉圖 = Su Latu; original name 蘇俊賓 = Su

Junbin; born 1976 in Taoyuan, Taiwan; Ph.D., Taiwan da

xue huan jing gong cheng xue yan jiu suo)

010 no2016106588

1001 Su, Junbin, †d 1976-

4001 蘇俊賓, †d 1976-

5001 †w r †i Alternate identity: †a Su, Latu, †d 1976- †0

<http://id.loc.gov/authorities/names/no2016106510> †1

<http://id.loc.gov/rwo/agents/no2016106510>

670 OCLC, August 9, 2016: †b (access point: Su Junbin;

usage: Su Junbin)

670 Lao wu chao, 2016: †b title page (蘇拉圖 = Su Latu)

front flap (蘇拉圖 = Su Latu; original name 蘇俊賓 = Su

Junbin; born 1976 in Taoyuan, Taiwan; Ph.D., Taiwan da

xue huan jing gong cheng xue yan jiu suo)

In this case, the front flap says 蘇拉圖 is a pen name and the author's original name is 蘇俊賓. So additional searching is needed to see if the real name is also used in his publications. We found out that the author uses his real name as a documentary film producer plus a dissertation that matches his subject area on environmental protection.

**Result:** Create two NACO records one for pseudonym [Su, Latu, †d 1976-](#) and one for real name [Su, Junbin, †d 1976-](#)

# Gather information from existing bib records

- Search and take the author's date from the OCLC bib file to create the NAR.

## New Name:

010 no2015129651

1001 Lu, Yuan, †d 1950-

4001 路远, †d 1950-

670 OCLC, Sept. 26, 2015: †b (usage: 路远 = Lu Yuan; access point: Lu, Yuan; Lu, Yuan, 1950-; author of 碑林语石 = Bei lin yu shi; 西安碑林史 = Xi'an bei lin shi; 碑林史话 = Bei lin shi hua)

010 no2015129508

1001 Lu, Yuan, †d 1957-

4001 路远, †d 1957-

667 Formerly on undifferentiated name record: n 78045601

670 Hong lang du, 2014: †b title page (路远 = Lu Yuan) front flap (路远 = Lu Yuan; original name: 杜远 = Du Yuan; male; born 1957; writes fiction)

670 His Huang mo chih wen, 1988: †b t.p. (路远 = Lu Yüan) p. 245 (at Hsi-lin-hao-t'e)

In this case, when splitting from the undifferentiated name record and creating 路远, †d 1957-, we found out that there is another 路远 but with the date 1950-, which can be used to create a unique NAR.

**Result:** Also create a new NAR for 路远, †d 1950- **LCCN no2015129651**



# Why Search? To Identify Existing Records that May Need to be Evaluated and Re-coded for RDA (1)

- If you are creating a new authorized access point that uses a 1XX from another authority record as the base element (e.g. name/titles or subordinate bodies), then that 1XX from the other authority record must be established according to RDA. If not, then you must upgrade the record to RDA.

See Guideline 4 under the PCC Post RDA Test Guidelines:

<https://www.loc.gov/aba/pcc/rda/PCC%20Post%20RDA%20Test%20Guidelines.html>

# Why Search? To Identify Existing Records that May Need to be Evaluated and Re-coded for RDA (2)

- If the record with the base element has this note, then you must upgrade it to RDA:  
667 THIS 1XX FIELD CANNOT BE USED UNDER RDA UNTIL THIS RECORD HAS BEEN REVIEWED AND/OR UPDATED.

# Why Search? To Identify Existing Records that May Need to be Evaluated and Re-coded for RDA (3)

- It is also important to be alert to changes that might be needed in records NOT flagged as needing review. Some examples are: persons with numerals at the end of the name, or terms like Jr., Sr., père.
- Note that we are strongly encouraged to upgrade RDA acceptable records to RDA as well.

See the [PCC Post RDA Test Guidelines](#) for more information.

# Evaluated and Re-coded for RDA (1)

- If creating a work/expression level record in RDA, need to upgrade author's record to RDA as well.

New NAR for a work

010 no2015168179

040 CU-SMu †b eng †e rda †c CU-SMu

1001 Li, Yinghai. †t Xi yang xiao gu

3820 piano †n 1 †s 1 †2 lcmp

4001 黎英海. †t 夕阳箫鼓

4001 Li, Yinghai. †t Xiao and drum at sunset

667 Non-Latin script references not evaluated.

670 Zhongguo gang qin yin yue, 2014: †b label (夕阳箫鼓 = Xi yang xiao gu; Xiao and drum at sunset : (1975))

Evaluate and re-code for its creator

008/10 Rules c † z

040 DLC †b eng †e rda †c DLC †d OCoLC †d Uk †d CU-SMu

010 n 83210909

1001 Li, Yinghai

4001 黎英海

670 Author's Han tsu tiao shih chi ch'i ho shêng, 1959.

670 Piano exam pieces, 2012

670 BL Music Collections, 24 October 2012 †b (Li Yinghai)

670 allmusic www site, 24 October 2012: †b Li Yinghai (Chinese composer, born 1927, died 2007)

**Result:** Evaluated and Coded 008/10 from “c” to “z” and add 040 †e rda to LCCN n 83210909

# Evaluated and Re-coded for RDA (2)

- If creating a record for a subordinate body, need to code the NAR for the parent body to RDA as well.

New NAR for a subordinate body

010 no2016107214

040 NNC-EA †b eng †e rda †c NNC

1102 Nagasaki Kenritsu Daigaku. †b Henshū linkai

4102 Nagasaki Kenritsu Daigaku. †b 編集委員会

Evaluate and re-code for its parent body

008/10 Rules c † z

010 no 99010482

040 MdU †b eng †e rda †c MdU †d OCoLC †d IEN †d DLC-S †d NNC

1102 Nagasaki Kenritsu Daigaku

4102 長崎県立大学

5102 †w r †i Absorbed corporate body: †a Kenritsu Nagasaki

Shīboruto Daigaku †4 <http://rdaregistry.info/Elements/a/P50301> †0

<http://id.loc.gov/authorities/names/n2004121983> †1

<http://id.loc.gov/rwo/agents/n2004121983>

In this case, you would evaluate and re-code the NAR(s) for the subordinate's parent body. Re-evaluating the parent body's related corp names is optional but encouraged.

**Result: Evaluate and re-code LCCN no 99010482 and LCCN n 2004121983**

Evaluate and re-code for its associated body

008/10 Rules c † z

010 n 2004121983

1102 Kenritsu Nagasaki Shīboruto Daigaku

4102 県立長崎シーボルト大学

5102 †w r †i Absorbing corporate body: †a Nagasaki Kenritsu Daigaku †4

<http://rdaregistry.info/Elements/a/P50302> †0

<http://id.loc.gov/authorities/names/no99010482> †1

<http://id.loc.gov/rwo/agents/no99010482>

# Evaluated and Re-coded for RDA (3)

- If creating a record for later name, need to code the NAR for the earlier name to RDA as well, or vice versa.

## Corp Body A: new RDA record

Fixed field: Rules z

010 no2014053500

040 CU-S †b eng †e rda †c CU-S

1102 Shio Gijutsu Kenkyūkai

4102 塩技術研究会

4102 鹽技術研究會

5102 †w r †i Successor: †a Nihon Shio Gakkai †4

<http://rdaregistry.info/Elements/a/P50016> †0

<http://id.loc.gov/authorities/names/n82129278>

†1 <http://id.loc.gov/rwo/agents/n82129278>

In this case, a RDA record was created for the earliest name, which has later names both under AACR2

**Result:** Evaluation and re-code records for **LCCN n 82129278 & LCCN n 82129277**

## Corp Body B: AACR2 record

Fixed field: Rules: z (changed from c)

010 n 82129278

040 DLC †b eng †e rda †c DLC †d OCoLC †d CU-S

1102 Nihon Shio Gakkai

4102 日本塩学会

4102 日本塩學會

5102 †w r †i Predecessor: †a Shio Gijutsu Kenkyūkai †4

<http://rdaregistry.info/Elements/a/P50012> †0 <http://id.loc.gov/authorities/names/no2014053500>

†1 <http://id.loc.gov/rwo/agents/no2014053500>

5102 †w r †i Successor: †a Nihon Kaisui Gakkai †4 <http://rdaregistry.info/Elements/a/P50016> †0

<http://id.loc.gov/authorities/names/n82129277> †1 <http://id.loc.gov/rwo/agents/n82129277>

## Corp Body C: AACR2 record

Fixed field: Rules: z (changed from c)

010 n 82129277

040 DLC †b eng †e rda †c DLC †d OCoLC †d CU-S

1102 Nihon Kaisui Gakkai

4102 日本海水学会

5102 †w r †i Predecessor: †a Nihon Shio Gakkai †4

<http://rdaregistry.info/Elements/a/P50012> †0

<http://id.loc.gov/authorities/names/n82129278> †1

<http://id.loc.gov/rwo/agents/n82129278>

# Why Search? To Identify Bibliographic Records that May Need BFM (1)

- In some cases you will need to notify LC to update their bibliographic records when you add or revise an authority record. This most often happens when you create a new record whose authorized access point differs from what's in LC's bibliographic file. However, if you are making a one-to-one change on an existing AAP in an authority record, then you most likely do not need to report the change to LC. Confused? Don't be. The NACO website has clear guidelines for reporting BFM here: <https://www.loc.gov/aba/pcc/naco/bfmguide.html>

# Why Search? To Identify Bibliographic Records that May Need BFM (2)

- And while we are not required to do so, it is good cataloging citizenship to update non-LC bib records in WorldCat with the correct access points.
- At a minimum, you want to update the WorldCat records with your holdings symbol and records in your local catalog.
- If the number is overwhelming, you can email OCLC at [bibchange@oclc.org](mailto:bibchange@oclc.org) to change the WorldCat records.
- Note that you should only update English-language cataloging records in WorldCat, however.



# Report BFM at [naco@loc.gov](mailto:naco@loc.gov)

- **New NAR** for name being removed from undifferentiated personal name NAR

## New NAR

010 no2015129508

1001 Lu, Yuan, †d 1957-

4001 路远, †d 1957-

667 Formerly on undifferentiated name record: n

78045601

670 Hong lang du, 2014: †b title page (路远 = Lu Yuan)  
front flap (路远 = Lu Yuan; original name: 杜远 = Du Yuan;  
male; born 1957; writes fiction)

670 His Huang mo chih wen, 1988: †b t.p. (路远 = Lu Yüan)  
p. 245 (at Hsi-lin-hao-t'e)

## Report BFM for associated bib record and update OCLC master record:

010 90168438

1001 路远, †d 1957- †e author.

1001 Lu, Yuan, †d 1957- †e author.

24510 荒漠之吻 / †c 路远.

24510 Huang mo zhi wen / †c Lu Yuan.

**Result:** Searched and found the DLC record, copied the LCCN, and reported BFM for: **LCCN 90168438**

# Searching Tips (1)

- When you are revising an existing NAR, it is helpful to search again to see what additional information you may be able to find.

# Searching Tips (2)

- Search for more than one form, e.g.:

Fowler, Esther Miller

Fowler, E.

Miller, E.

Miller, Esther

Miller, E. Anne

\*Search both the authorized access point and the variant access points. Be sure to search possible alternative forms of a person's name to find any authorized access points that may have been entered under those forms. You're trying to find all the works by (and about) a person. This is even more necessary in languages with multiple surnames, etc.

# Searching Tips for Korean personal names

- Be aware of the following situations when searching for Korean personal names
- Korean last names usually have only one character. But some Korean last names could have two syllables like this one.  
  
010 nr 98014630  
1001 Hwangbo, Myöng  
4001 황보 명  
4001 Hwang, Po-myong 꺾w nne  
4001 황보명 꺾w nne
- Need to search some tricky romanization possibilities. See example of Yu, Chong-yö̂l vs. Yu, Chong-nyö̂l on slide 13.
- When a name is not a conventional surname/forename, code it as a forename with first indicator “0”  
010 n 2014004356  
1000 Paekp'asö̂n, 꺾d 1560-1656  
4000 백파선, 꺾d 1560-1656  
4001 Paek, P'a-sö̂n, 꺾d 1560-1656 꺾w nne  
4001 백 파선, 꺾d 1560-1656 꺾w nne
- Be careful, some names are actually conventional names and should not be treated as forenames.  
  
010 n 2011061375  
1001 Sö̂l, Ch'ong, 꺾d 655?-  
4001 薛聰, 꺾d 655?-  
4001 설총, 꺾d 655?-  
4000 Sö̂lch'ong, 꺾d b. 655? 꺾w nnea

# Searching Tips (3)

- Places to search:
  - LC/NACO Authority File (NAF via OCLC)
  - OCLC bibliographic records
  - VIAF
  - Your local files
  - Optionally: LC's OPAC
  - Occasionally: other reference sources, databases, WWW, etc.

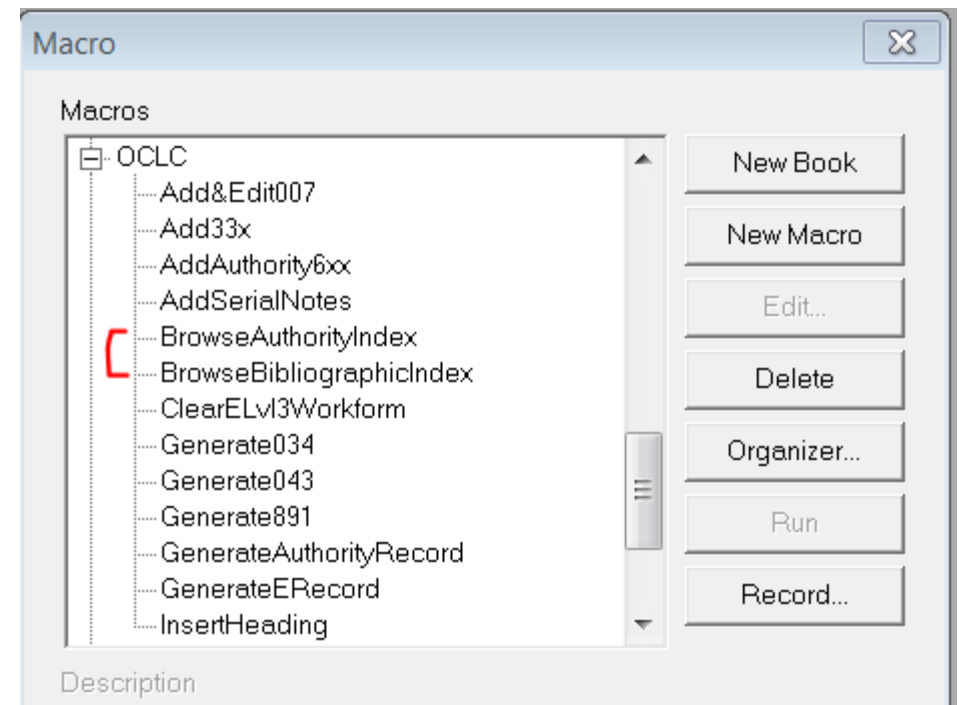
# Searching Tips in Connexion

- You can use OCLC macros to easily search bibliographic and authority files from an access point in a record.

The "BrowseAuthorityIndex" and the "BrowseBibliographicIndex" macros are built into Connexion. Just put your cursor on an access point, run the macros, and then get the results.

Connexion Window Client:

- on top menu bar, select Tools, then click Macros, click Manage... (or Ctrl+Alt+Shift+G)
- Click the plus sign next to OCLC



# Searching tips in Connexion: Authorities (1)

- OCLC Connexion: Search Authorities Quick Reference

<http://www.oclc.org/content/dam/support/worldcat/documentation/authorities/authquickref/searchauthoritiesquickreference.pdf>

## Authority master file

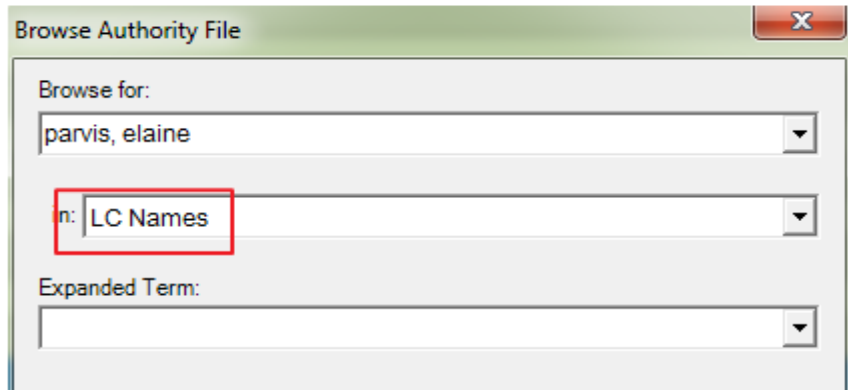
- Shift-F2: search/browse: command line and labelled lines
- Alt-F2: browse

## Authority history file

- Alt-u-s-h: search/browse: command line and labelled lines
- Alt-u-b-h: browse

# Searching tips in Connexion: Authorities (2)

- The “LC Names” browse index is a good for finding potential conflicts since it combines different types of names into one index (personal, corporate, geographic, etc.).



Browse Authority File

Browse for:  
parvis, elaine

n: LC Names

Expanded Term:

Parvis des Métiers (Bourges, France)  
Parvis poétiques (Association)  
Parvis (Tarbes, France)  
Parvis, Elaine  
Parvis, Ethan N.  
Parvis, G. #q (Gladys)

- When doing a browse search, it is helpful to look at the names listed before and after the form you searched to find conflicts.
- However, use caution when using the "LC Names" browse index in Connexion Client. A comma, commonly found between a surname and forename, is sorted after all names without a comma so the relevant entries can sometimes be listed way below the result screen.



# Searching tips in Connexion: Bib Records

- OCLC Connexion: Search WorldCat Quick Reference

[https://help.oclc.org/Metadata\\_Services/Connexion/Related\\_documentation/OCLC\\_Connexion\\_Searching\\_WorldCat\\_quick\\_reference](https://help.oclc.org/Metadata_Services/Connexion/Related_documentation/OCLC_Connexion_Searching_WorldCat_quick_reference)

# Search other references and databases, etc.: General

- GNS <https://geonames.nga.mil/geonames/GeographicNamesSearch/>
- Getty Thesaurus of Geographic Names  
<https://www.getty.edu/research/tools/vocabularies/tgn/index.html>
- Internet Movie Database <https://www.imdb.com/>
- Social Security Death Index <https://go.fold3.com/ssdi/>
- ULAN <https://www.getty.edu/research/tools/vocabularies/ulan/index.html>
- Wikipedia <https://www.wikipedia.org/>
- Columbia Gazetteer of the World (by subscription)
- Encyclopædia Britannica (by subscription)
- Film Index International (by subscription)
- Grove Art (by subscription)
- World Biographical Information System (by subscription)

## Search other references and databases, etc.: Chinese

- Wikipedia, Chinese 维基百科 <https://zh.wikipedia.org/wiki/Wikipedia:%E9%A6%96%E9%A1%B5>
- Bai du bai ke 百度百科 <https://baike.baidu.com/>
- 中国作家网 <http://www.chinawriter.com.cn/>
- 台灣作家作品目錄資料庫 <https://db.nmtl.gov.tw/site4/s6/index>
- 台湾电影网 <https://taiwancinema.bamid.gov.tw/>
- Taiwan Docs 台灣紀錄片資料庫 <https://docs.tfai.org.tw/>
- 中文電影資料庫 <http://www.dianying.com/>
- HKCAN香港中文名稱規範數據庫 <https://hkcan.julac.org/authorities/>
- Plus any others you find useful

# Search other references and databases, etc.: Japanese

- Webcat Plus <http://webcatplus.nii.ac.jp/>
- Wikipedia, Japanese
- Tokyo Metropolitan Library Catalog  
<https://catalog.library.metro.tokyo.lg.jp/winj/opac/search-detail.do?lang=ja>
- Nichigai Web who (by subscription)
- J-global <http://jglobal.jst.go.jp/?t=1&d=0>
- Japanese National Government Organization Chart  
[http://www.cas.go.jp/jp/gaiyou/jimu/jinjikyoku/satei\\_01\\_05.html](http://www.cas.go.jp/jp/gaiyou/jimu/jinjikyoku/satei_01_05.html)
- Current Japanese Place Names (Japan Post)  
<http://www.post.japanpost.jp/zipcode/index.html>
- Gazetteer of Japan (2021) <https://www.gsi.go.jp/common/000238295.pdf>
- Japan Knowledge-- 日本歴史地名大系 (by subscription)
- 映画.com <http://eiga.com/>

# Search other references and databases, etc.: Korean

- Wikipedia, Korean  
<https://ko.wikipedia.org/wiki/%EC%9C%84%ED%82%A4%EB%B0%B1%EA%B3%BC:%EB%8C%80%EB%AC%B8>
- Naver 인물검색 <http://people.search.naver.com>
- Jo!ns 인물정보 <http://people.joins.com>
- Daum 영화 <http://movie.daum.net/movieperson>
- Plus any others you find useful

# **Search for Aboriginal Names, Chinese Minority Ethnic Names**

CJK NACO Project will compile a list of aboriginal, Tibetan, Mongolian, Uyghur, etc. language specialists available for consultation

# Checklist when Searching (1)

- Take care to search both the proposed AAP and cross references to avoid conflicts and duplicates
- Always consider the NACO normalization rules
- Thoroughly understand rules of word division of the language of the entity
- Search under different Romanized forms and possible different spellings of the name
- Search under different forms of AAPs and references
- Search in different indexes for the proposed AAP

# Checklist when Searching (2)

- When establishing a corporate name entered subordinately, search under the established form of the parent body
- Search under the entire hierarchy as well as the name omitting intervening body when the name is a subordinate of one or more other body
- When necessary, search the title cited in the 670 field of the existing NAR to determine the relationship of the name in hand and the one in the database
- When in doubt, establish a new authority record
- Use 667 field note when appropriate to differentiate the new name and the established name (e.g., Not same as: ... or Cannot identify with: ...)
- Search variant access points AS WELL to avoid conflicts between those and an authorized access point



# Checklist when Searching (3)

- Search to evaluate, update/re-code a NAR to RDA, and/or split a undifferentiated name
- Search before adding new NAR to NAF, **rule of 24 hours**
- Report to LC [naco@loc.gov](mailto:naco@loc.gov)
  - Any duplicate NAR or last entity in undifferentiated NAR for deletion
  - Any associate bibliographical records for BFM

# References

- LC NACO Training: module 1—NACO Foundations (slides 78-86).  
<http://www.loc.gov/catworkshop/courses/naco-RDA/index.html>
- UC San Diego NACO Searching Guidelines:  
<https://tpot.ucsd.edu/access/authority-control/nacosearching.html>
- Hideyuki Morimoto, Jessalyn Zoom. Searching LC/NACO Authority File in Connexion. 2011  
<http://www.eastasianlib.org/ctp/webinars/NAFinConnexion/hm-CTP-EastAsianNACO-search-webinar-007.pdf>
- Dup examples from Hideyuki Morimoto's posts from eastlib archive  
<http://lists.unc.edu/read/?forum=eastlib&sb=1>  
<https://groups.google.com/g/eastlib>

# Questions and Discussion

Thank you for your participation!

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